



# Earthen Construction: An ancient solution to the modern problem of sustainable, safe, and affordable housing

### Michele Barbato

Professor, Civil and Environmental Engineering Co-Director, UC Davis Climate Adaptation Research Center University of California, Davis

## Outline

- Introduction
- Earthen Construction: Advantages and Challenges
- Compressed and Stabilized Earth Block (CSEB) Construction
- Feasibility of CSEB Houses
- Use of CSEBs for Wildfire-Resistant Building Construction
- Other Sustainability Considerations
- Conclusions



### Introduction (1)

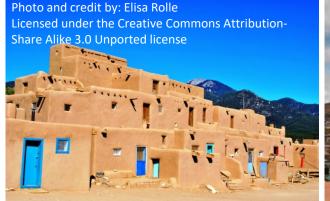
- Earthen structures are structures built using mainly soil
- Most ancient and sustainable building technique (> 10,000 years old)



China's Great Wall (300 BCE - 1650 CE)



Great Mosque of Djenné in Mali (300 BCE)



Pueblo de Taos, NM, USA (1000-1450 AC)



City of Potosí in Bolivia (1600-2100 CE)

CIVIL AND ENVIRONMENTAL ENGINEERING



## Introduction (2)

> Cob

- □ Sand, clay, water, some kind of fibrous or organic material (straw)
- □ Soil mix is layered to build earth structures
- Rammed earth
  - □ Mixture of sand, clay, water, fiber, and gravel
  - □ Soil mix is compacted to build earth structures







### Adobe

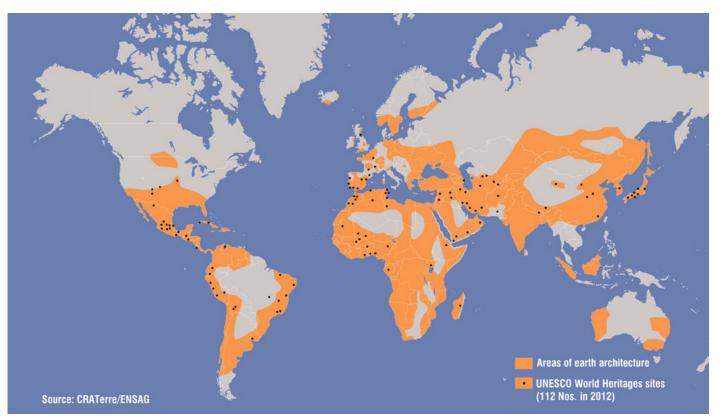
- Mixture of sand clay, water, and fibers is used to fabricate blocks
- □ Earth structures are built with adobe blocks





## Introduction (3)

- ➤ 30%-50% of world's population currently lives in earth-based dwellings
- ➢ Earthen structures are found all over the world



Earth construction areas of the world (Source: CRATerre/ENSAG/Auroville)

CIVIL AND ENVIRONMENTAL ENGINEERING



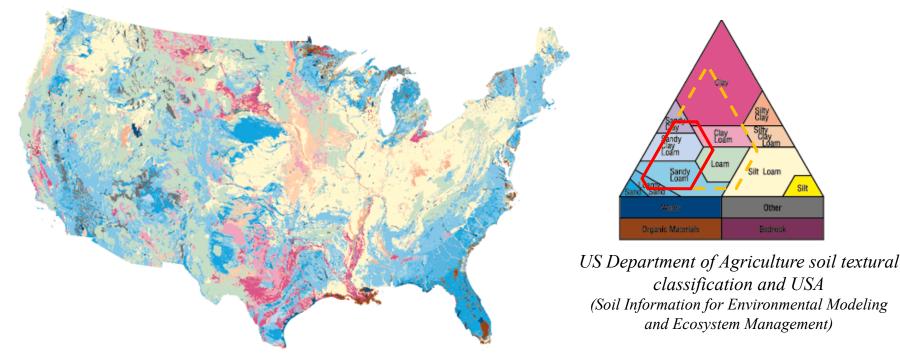
### Motivation

- Need for affordable and sustainable housing
  - □ Shortage of 0.4M houses per year in the USA
  - $\Box \quad \text{Accumulated shortage of } \sim 5M \text{ houses}$
  - □ Shortage of skilled labor in construction industry
  - Dependence on construction materials from other nations
  - □ Significant issues with energy consumption and pollution
  - $\square$  > 2B new houses needed worldwide in the next 80 years
  - □ Highest need for low-income/disadvantaged populations
- Need for appropriate engineering-based design approaches
  - Different mechanical behavior than ordinary masonry
  - □ Limitations in existing numerical models
  - □ Lack of standardization
  - □ Very limited understanding of performance and reliability



### Earthen Construction: Advantages (1)

- Affordable and locally appropriate
  - Appropriate soil is widely available and inexpensive
  - □ Stabilization increases the range compositions that can be used

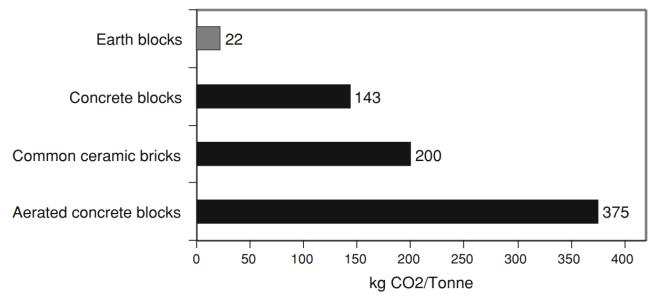


Earthen construction is naturally resistant to fire, mold, fungi, rot, insects, and pests



### Earthen Construction: Advantages (2)

- Indoor air quality and humidity efficient
  - □ Earth construction can keep the relative humidity of indoor air between 40% and 60%, which is most suitable for human health.
- Eco-efficient and sustainable
  - □ The embodied energy of earth buildings is significantly smaller than that of other conventional construction techniques

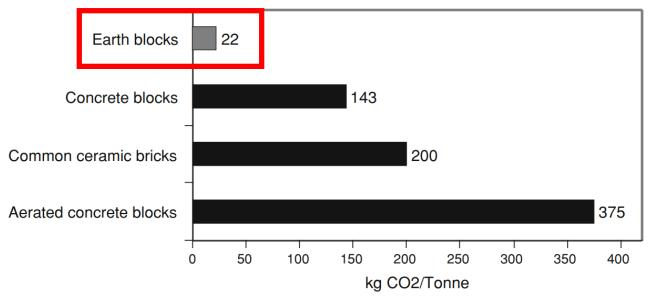


Embodied carbon in different masonry materials (Morton et al. 2005)



### Earthen Construction: Advantages (2)

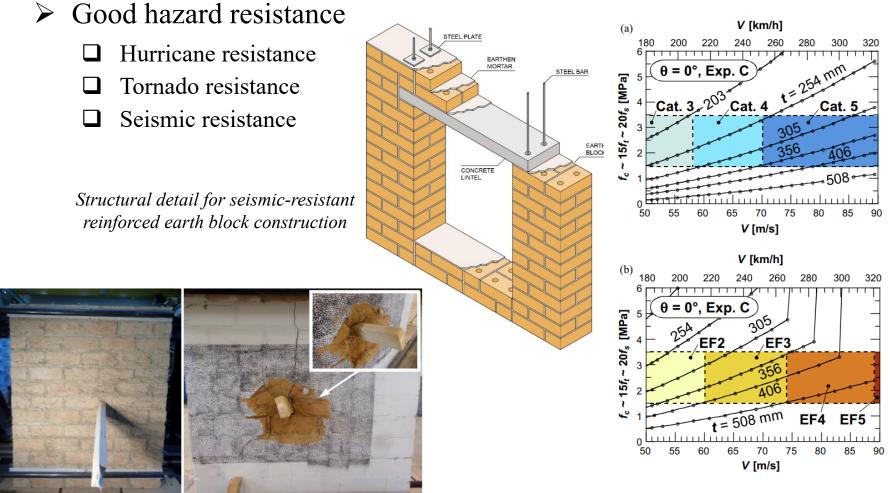
- Indoor air quality and humidity efficient
  - □ Earth construction can keep the relative humidity of indoor air between 40% and 60%, which is most suitable for human health.
- Eco-efficient and sustainable
  - □ The embodied energy of earth buildings is significantly smaller than that of other conventional construction techniques



Embodied carbon in different masonry materials (Morton et al. 2005)



### Earthen Construction: Advantages (3)



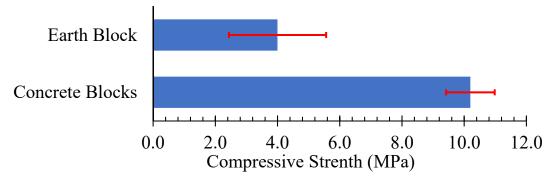
Masonry strength demand curves: (a) hurricane effects; and (b) tornado effects (Matta et al. 2015)

Windborne debris impact resistance of earth block walls (Cuéllar-Azcárate MC 2016)

CIVIL AND ENVIRONMENTAL ENGINEERING



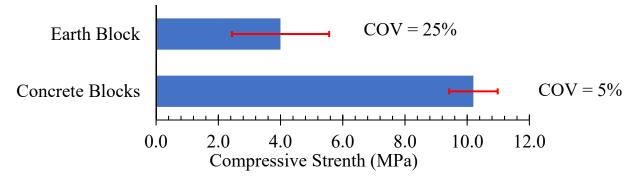
High Variability of Earth Block Properties



Variability in compressive strength of different masonry materials



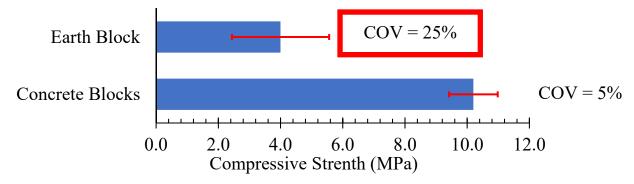
High Variability of Earth Block Properties



Variability in compressive strength of different masonry materials



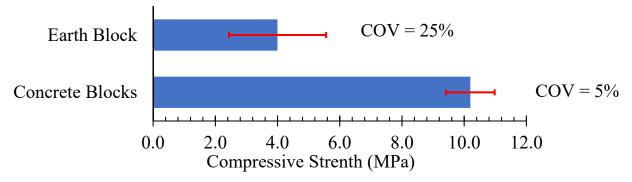
High Variability of Earth Block Properties



Variability in compressive strength of different masonry materials



High Variability of Earth Block Properties



Variability in compressive strength of different masonry materials

Poor durability against wet climates





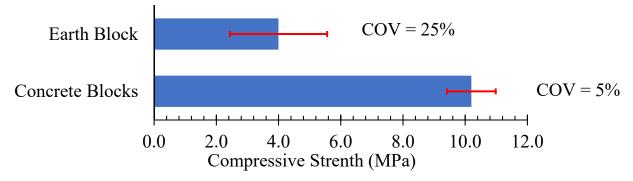


Rammed earth masonry exposed during 20 years to natural climatic conditions (Pacheco-Torgala and Jalali 2012)

CIVIL AND ENVIRONMENTAL ENGINEERING



High Variability of Earth Block Properties



Variability in compressive strength of different masonry materials

Poor durability against wet climates



Rammed earth masonry exposed during 20 years to natural climatic conditions (Pacheco-Torgala and Jalali 2012)

CIVIL AND ENVIRONMENTAL ENGINEERING



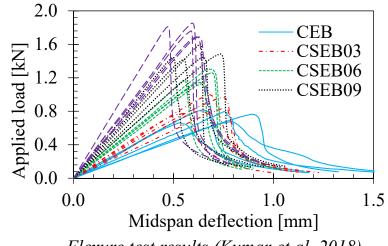
**CLIMATE ADAPTATION** 

ESEARCH CENTER

Brittleness



Flexure test of unreinforced earth block



Flexure test results (Kumar et al. 2018)

### Labor intensive

Process of construction of earth block wall







- Lack of engineering-based design codes and standards
  - 2015 New Mexico Administrative Code Title 14/Chapter 7, Part 4: Earthen building materials code
  - 2019 International Building Code/2021 California Building Code Section 2109: Empirical design of adobe masonry
  - 2021 International Residential Code (IRC) Appendix AU: Cob construction (monolithic adobe)
- Need for more education among engineers, architects, builders, insurances, lenders, and local building officials
- Widespread perception as a substandard choice due to poor performance of non-engineered earthen structures



### Modern/Engineered Earthen Structures



The Ricola Herb Centre in Laufen (Basel), Switzerland



Centre for Earth Architecture / Kere Architecture



El Haj Yousif experimental school in Sudan (Adam, 2001)



Earthen house in Davis, CA, USA (1955)

CIVIL AND ENVIRONMENTAL ENGINEERING



## Earth Block Construction

- CEB: Compressed Earth Block
  - Earth mix is compressed to increase strength (volume is reduced by about half)
  - Mechanical presses are used to compress the blocks
- SEB: Stabilized Earth Block
  - □ Stabilizer is used with earth mix (used to increase strength and durability)
  - Blocks are not highly compressed like CEB
  - □ SEB have better durability (e.g., resistance against abrasion)
- CSEB: Compressed and Stabilized Earth Block
  - □ Manufacturing process is combination of CEB and SEB
  - □ Stabilized mixture is used, and blocks are highly compressed
  - CSEB have better strength (can meet or exceed cement brick) and durability



### Compressed and Stabilized Earth Blocks (CSEB)



CIVIL AND ENVIRONMENTAL ENGINEERING



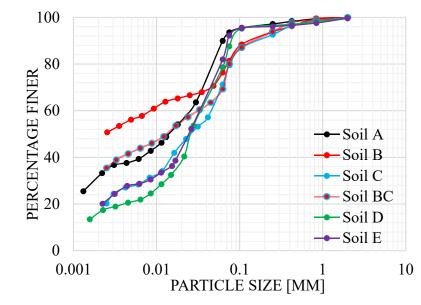
### Feasibility of CSEB Houses

- Focus on US Gulf Coast region (wet and humid climate)
- Motivation: need for affordable hurricane-resistant housing
  - 386,000 low-income households in Louisiana need affordable housing (U.S.
    Department of Housing and Urban Development in 2010)
- Challenges: poor soil quality, hot and wet climate, high wind loads, and cost
- Need for culturally-appropriate solutions
- Investigation performed for:
  - □ Structural feasibility
  - □ Architectural feasibility
  - Economic feasibility

(Kumar et al. 2018)



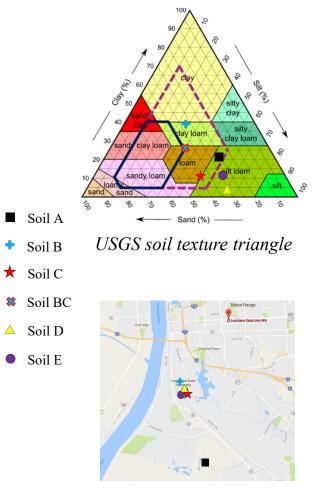
### Soil Identification



Particle analysis of the soil collected from different sites in Baton Rouge, LA.

Soil type	A	B	С	BC	D	Ε
Sand	10%	24%	29%	31%	21%	18%
Silt	57%	19%	43%	25%	58%	51%
Clay	33%	58%	28%	44%	21%	31%

ASTM D6913-04 (2009); ASTM D7928-16 (2016); ASTM D2487-11 (2010)



Map of Baton Rouge with site locations of different soils

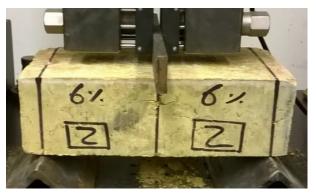


### **Mechanical Properties of CSEBs**

	MC	DR	$f_{bd}$		MC	)E	C	$f_{bv}$	C	
Cement	Average (MPa)	COV (%)	Average (MPa)	COV (%)	Average (MPa)	COV (%)	J <sub>bkd</sub> (MPa)	Average (MPa)	COV (%)	J <sub>bkw</sub> (MPa)
0	0.33	9.50	1.22	6.38	23.28	11.40	0.74	-	-	-
3	0.39	11.40	1.66	8.74	38.53	20.49	0.96	0.75	4.91	0.47
6	0.53	6.38	2.01	6.13	44.82	11.47	1.23	0.97	9.91	0.54
9	0.66	4.87	2.97	7.19	60.45	2.34	1.78	1.58	4.32	1.01
12	0.78	4.17	3.89	5.47	74.20	13.41	2.42	2.16	5.84	1.34

#### Mechanical properties of CSEBs for different cement content

**MOR** = Modulus of rupture;  $f_{bd}$  = Dry compressive strength; **MOE** = Modulus of elasticity;  $f_{bkd}$  = Characteristic dry compressive strength;  $f_{bw}$  = Wet compressive strength;  $f_{bkw}$  = Characteristic wet compressive strength



Specimen after flexure test



Specimen after compression test

CIVIL AND ENVIRONMENTAL ENGINEERING

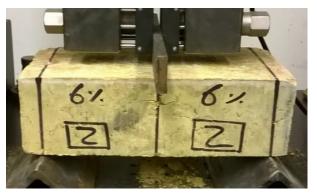


### **Mechanical Properties of CSEBs**

	MC	)R	$f_{bd}$		MC	)E	C	$f_{bv}$	C	
Cement	Average (MPa)	COV (%)	Average (MPa)	COV (%)	Average (MPa)	COV (%)	J <sub>bkd</sub> (MPa)	Average (MPa)	COV (%)	J <sub>bkw</sub> (MPa)
0	0.33	9.50	1.22	6.38	23.28	11.40	0.74	-	-	-
3	0.39	11.40	1.66	8.74	38.53	20.49	0.96	0.75	4.91	0.47
6	0.53	6.38	2.01	6.13	44.82	11.47	1.23	0.97	9.91	0.54
9	0.66	4.87	2.97	7.19	60.45	2.34	1.78	1.58	4.32	1.01
12	0.78	4.17	3.89	5.47	74.20	13.41	2.42	2.16	5.84	1.34

#### Mechanical properties of CSEBs for different cement content

**MOR** = Modulus of rupture;  $f_{bd}$  = Dry compressive strength; **MOE** = Modulus of elasticity;  $f_{bkd}$  = Characteristic dry compressive strength;  $f_{bw}$  = Wet compressive strength;  $f_{bkw}$  = Characteristic wet compressive strength



Specimen after flexure test



Specimen after compression test

> NMAC 2015 recommends average  $f_{bd} > 2.0$  MPa; min( $f_{bd}$ ) > 1.7 MPa; average MOR > 0.35 MPa

CIVIL AND ENVIRONMENTAL ENGINEERING

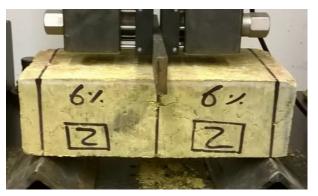


### **Mechanical Properties of CSEBs**

	MC	R	$f_{bd}$		MC	)E	C	$f_{bi}$	C	
Cement	Average (MPa)	COV (%)	Average (MPa)	COV (%)	Average (MPa)	COV (%)	J <sub>bkd</sub> (MPa)	Average (MPa)	COV (%)	J <sub>bkw</sub> (MPa)
0	0.33	9.50	1.22	6.38	23.28	11.40	0.74	-	-	-
3	0.39	11.40	1.66	8.74	38.53	20.49	0.96	0.75	4.91	0.47
6	0.53	6.38	2.01	6.13	44.82	11.47	1.23	0.97	9.91	0.54
9	0.66	4.87	2.97	7.19	60.45	2.34	1.78	1.58	4.32	1.01
12	0.78	4.17	3.89	5.47	74.20	13.41	2.42	2.16	5.84	1.34

#### Mechanical properties of CSEBs for different cement content

**MOR** = Modulus of rupture;  $f_{bd}$  = Dry compressive strength; **MOE** = Modulus of elasticity;  $f_{bkd}$  = Characteristic dry compressive strength;  $f_{bw}$  = Wet compressive strength;  $f_{bkw}$  = Characteristic wet compressive strength



Specimen after flexure test



Specimen after compression test

- > NMAC 2015 recommends average  $f_{bd} > 2.0$  MPa; min $(f_{bd}) > 1.7$  MPa; average MOR > 0.35 MPa
- > Lunt 1980 & Houben and Guillaud 1994 recommend average  $f_{bw} > 1.5$  MPa

CIVIL AND ENVIRONMENTAL ENGINEERING



### Use of Sugarcane Bagasse Fibers (SBF) in CSEBs

- Sugarcane production in 2018: 746.8 million metric tons (MMT) in Brazil, 376.9 MMT in India, and 108.7 MMT in China
  - $\supset$  > 400 million metric tons of SBF.
- ➢ USA sugarcane production in 2017: 28.0 MMT, mostly in Florida, Louisiana, and Texas,
  - $\sim$  9 million metric tons of SBFs.
- Brittle behavior of CSEBs can be improved using fibers



Sugarcane bagasse fibers

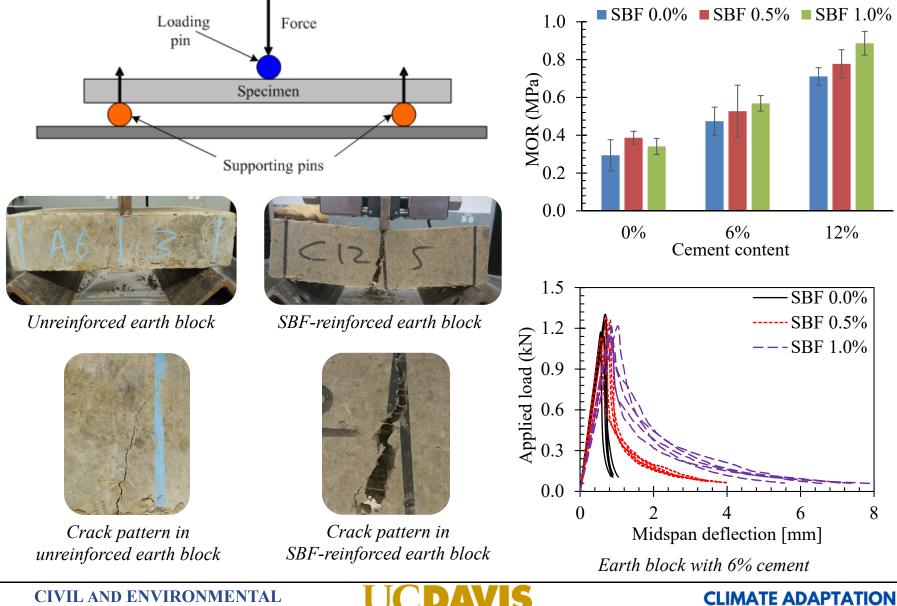
CIVIL AND ENVIRONMENTAL ENGINEERING



SBF stockpile in Alma Plantation, Louisiana



### SBF-Reinforced CSEBs: Flexure Test

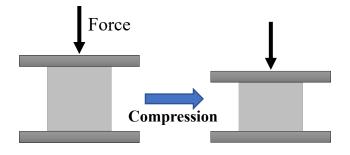


**CIVIL AND ENVIRONMENTAL ENGINEERING** 



**RESEARCH CENTER** 

### SBF-Reinforced CSEBs: Compression Test

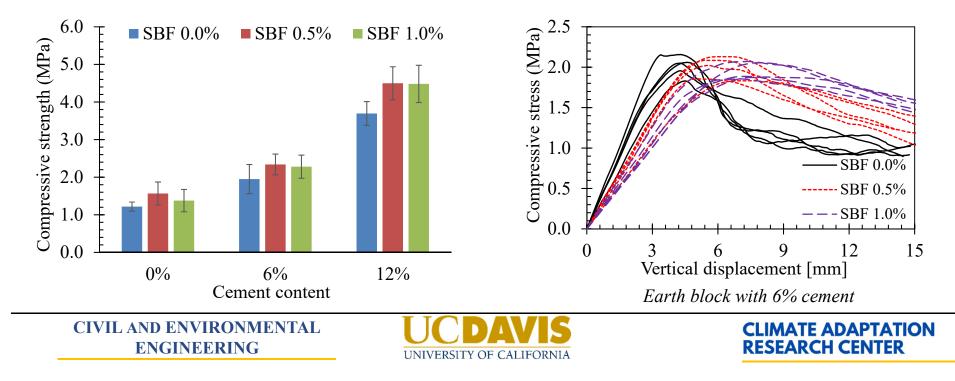




Unreinforced earth block

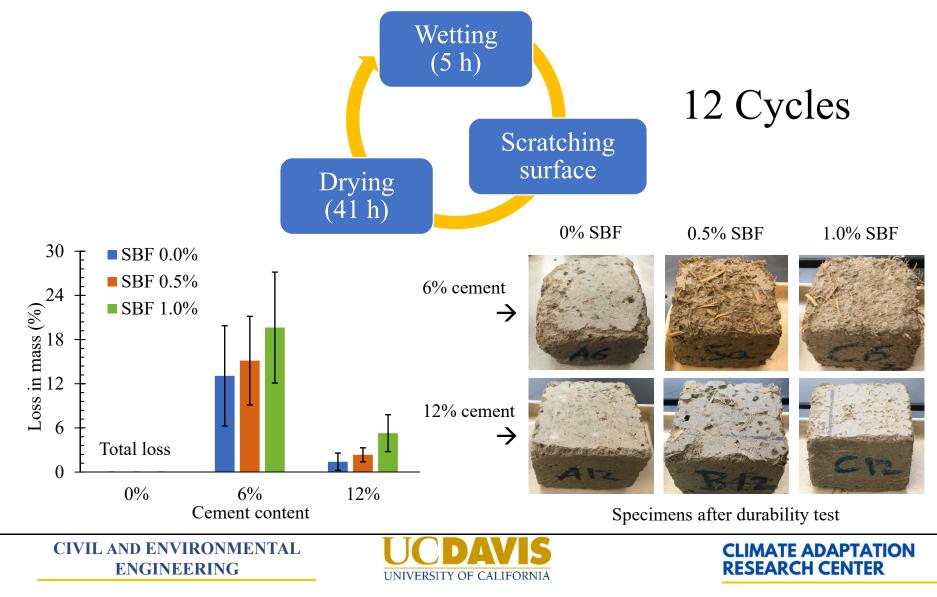


SBF-reinforced earth block



### SBF-Reinforced CSEBs: Durability Test

### Wetting and drying durability test



### **Durability Study of CSEB Wall**





Masonry wall after construction

Mechanical properties of CBEBs before construction and after demolition of the wall

	MOR			$f_{bd}$			MOE	
Tested specimens	Average (MPa)	COV (%)		Average (MPa)	COV (%)		Average (MPa)	COV (%)
CSEB (initial)	0.57	11.28		1.38	6.40		31.22	16.98
CSEB (protected)	0.64	22.68		1.79	5.55		55.61	20.21
CSEB (unprotected)	0.37	21.82		1.50	13.80		44.78	26.82

**MOR** = Modulus of rupture;  $f_{bd}$  = Dry compressive strength; **MOE** = Modulus of elasticity



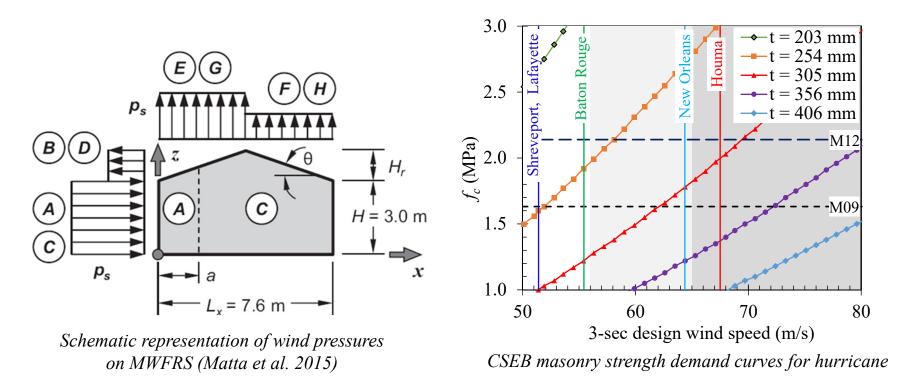
Masonry wall after application of soil-cement mortar and cement paste

CIVIL AND ENVIRONMENTAL ENGINEERING



### Hurricane Wind Resistance Study

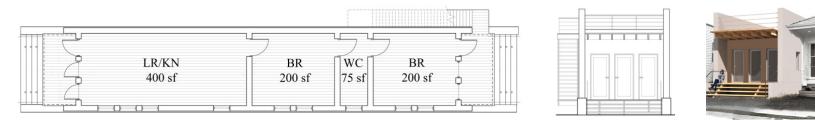
- Strength demand curves developed by Matta et al. (2015)
- Characteristic masonry strength as per Eurocode 6 (CEDN 2005)
  - □ M09 CSEB with 09% cement and respective mortar
  - □ M12 CSEB with 12% cement and respective mortar



CIVIL AND ENVIRONMENTAL ENGINEERING



### Economic Feasibility (1)



Floor plan

Front elevation

Front elevation

Cost comparison of different wall systems for reference shotgun prototypes house (1000 S	Square ft.)
--	-------------

Items	ICSEB Mortarless	Mortared CSEB	Light-frame Wood	Bricks	Concrete Blocks
Material (\$)	7,186	6,676	15,638	19,533	12,844
Labor (\$)	20,593	34,674	13,068	27,625	20,255
Overhead (\$)	11,112	16,540	12,264	19,840	13,882
Total wall cost (\$)	38,891	57,890	40,970	66,997	46,981
Other assemblies (\$)	65,110	65,110	65,110	65,110	65,110
Total cost of house (\$)	104,001	123,000	106,080	132,107	112,091
Wall cost ratio (wcr)	1.00	1.49	1.05	1.72	1.21
House cost ratio (hcr)	1.00	1.18	1.02	1.27	1.08

 $\blacktriangleright$  RS Means (2014, 2015) is used for the cost estimation



### Economic Feasibility (2)

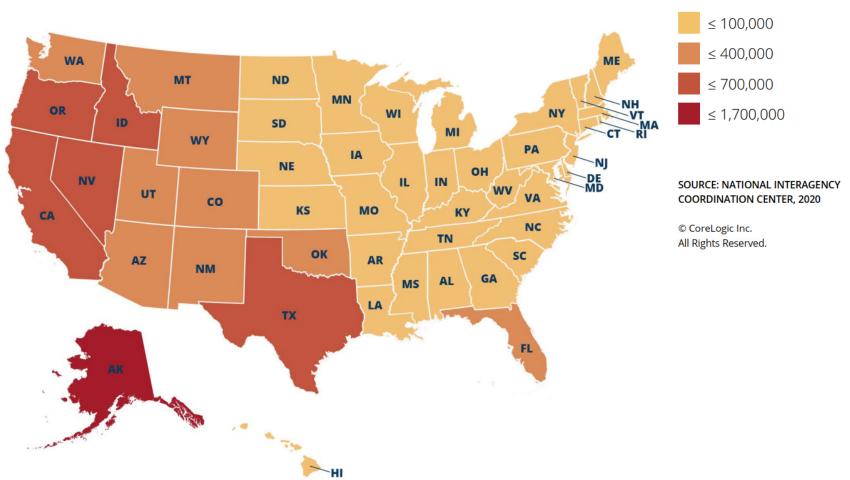
Components	Items	Mortarl	ess ICS	EB Wall	Mortar	ed CSE	B Wall	
Components	items	Quantity	Unit	Cost (\$)	Quantity	Unit	Cost (\$)	
Blocks	Soil	133.3	Ton	-	132.6	Ton	-	Top The Top Th
	Cement	40,055	lbs.	3,676	39,851	lbs.	3,651	
	Labor	584	Hours	4,234	528	Hours	3,828	90 mm T
	Machine	73	Hours	2,555	66	Hours	2,310	356 mm 10 mm 356 mm
Reinforcement	Material	1,610	lbs.	483	-	lbs.	-	
	Labor	29	Hour	580	-	Hour	-	Ordinary CSEB element ICSEB element
Mortar	Soil	10.6	Ton	-	10.6	Ton	-	
&	Cement	7,806	lbs.	720	7,806	lbs.	720	
grout	Sand	10.6	Ton	531	10.6	Ton	530	360 mm, <del>  ≺ → →</del> Reinf. bar 4#
Masonry	Stem walls	113	Hours	2,250	225	Hours	5,721	
Work	Long walls	288	Hours	5,766	577	Hours	14,755	
	Short walls	92	Hours	1,830	183	Hours	4,683	890 mm
Rendering	Soil	2.7	Ton	-	2.7	Ton	-	
	Cement	2,938	lbs.	271	2,938	lbs.	271	1454 mm - 1454 mm - 1424 m
	Sand	2.7	Ton	133	2.7	Ton	133	← 1454 mm ─ ─ ►     ← 1424 mm ─ ►
	Ext. paint	5,964	ft2	1,372	5,964	ft2	1,372	Mortared CSEB Mortarless ICSEB
	Plastering	87	Hours	2,185	87	Hours	2,185	wall system wall system
	Painting	48	Hours	1,193	48	Hours	1,193	
Total	cost			27,779			41,352	

#### Detailed cost estimates of CSEB walls for the reference prototype house

 $\blacktriangleright$  RS Means (2014, 2015) is used for the cost estimation



### Wildfire: Nationwide Risk



Average Acres Burned

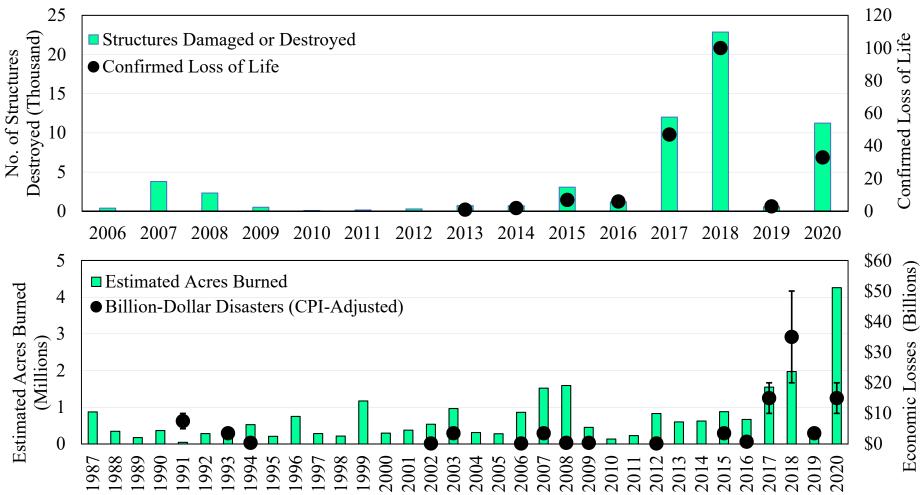
Average yearly acres burned by wildfire (2002-2019)

CoreLogic 2020

CLIMATE ADAPTATION RESEARCH CENTER



### **California Wildfires History & Statistics**



Data sources:

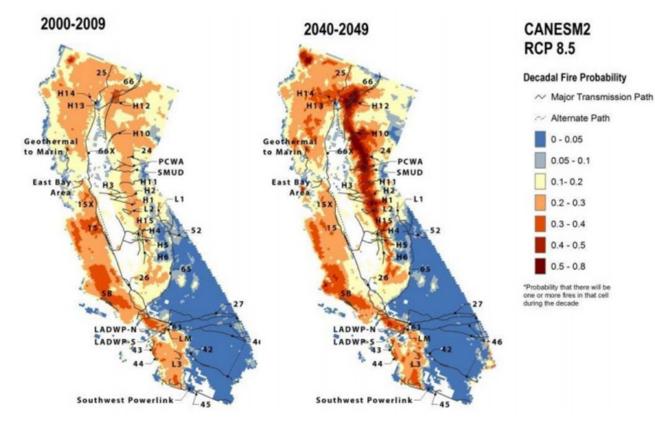
- 1. Estimated acres burned and confirmed loss of life: https://www.fire.ca.gov/incidents/
- 2. Damaged/destroyed structures: https://headwaterseconomics.org/natural-hazards/structures-destroyed-by-wildfire/
- 3. Economic losses: https://www.ncdc.noaa.gov/billions/time-series/CA





### Effect of Climate Change on Wildfire Hazard

Rising global temperatures are increasing the severity of wildfires across the western United States (Westerling 2018: CEC Report No. CCCA4-CEC-2018-014)



Wildfire simulations for California's fourth climate change assessment projecting changes in extreme wildfire events with a warming climate



## California Building Code for WUI (Ch. 7A)

- Fire Resistance Test Standards
  - **Exterior wall siding and sheathing**: 150-kW intensity direct flame exposure for a 10-minute duration
  - □ Exterior windows: 150-kW intensity direct flame exposure for an 8-minute duration
  - **Decking**: under-deck exposure to 80 kW intensity direct flame for a 3-minute duration.
  - □ **Roof**: comply with varies the requirements (for Coverings, valleys, and gutters) of Chapter 7A and Chapter 15 of California Building Code
  - □ Horizontal projection underside: 300-kW intensity direct flame exposure for a 10-minute duration
  - ❑ Other ignition-resistant materials (e.g., fire-retardant-treated wood):
    30-minute ASTM E84 or UL 723 tests
- Exterior Protection
- Defensible Space



### CSEB Construction: Fire Resistance (1)







CIVIL AND ENVIRONMENTAL ENGINEERING



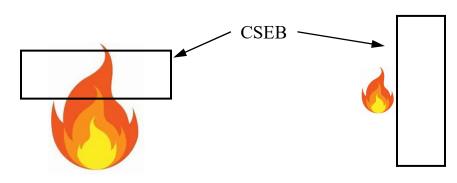




### CSEB Construction: Fire Resistance (2)

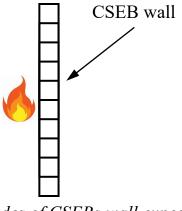
### Ongoing research

- Characterize fire-induced changes in mechanical properties of CSEBs and CSEB masonry at different temperatures and temperature gradients
- ☐ Investigate the integration of other fire hardening systems (roof system and cover, vents, defensible space, etc.)



All sides of CSEBs expose to time-temperature profile

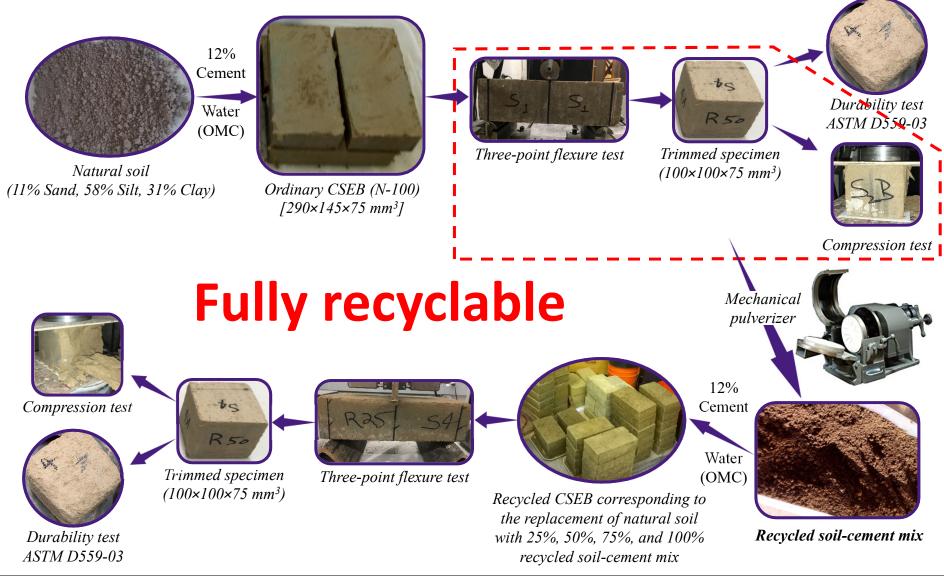
Only one sides of CSEBs expose to time-temperature profile



One sides of CSEBs wall expose to time-temperature profile

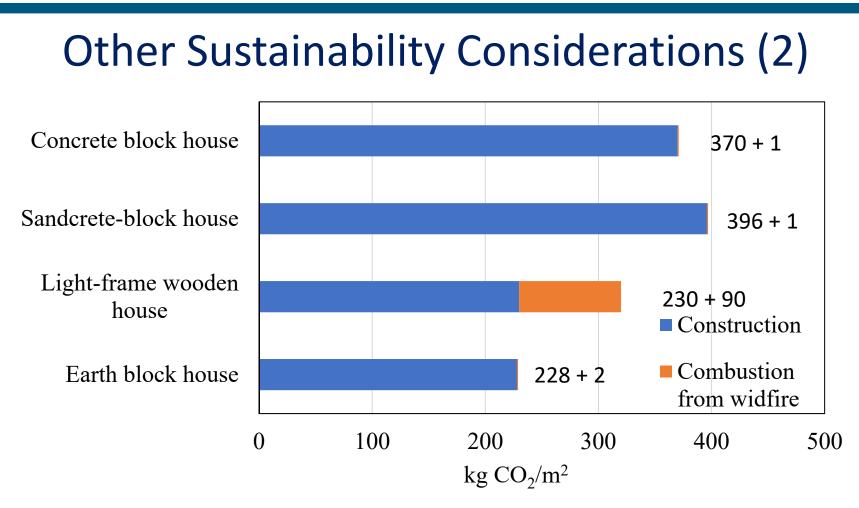


### **Other Sustainability Considerations (1)**



CIVIL AND ENVIRONMENTAL ENGINEERING





- Operational Energy consumption savings between 30%-70%, depending on climate.
- Design service life can be easily extended to 100 years (currently ~35 years).



### Initiatives Using CSEB Construction

<u>Good Earth Lodge</u>, Crow Tribe, MO





 <u>Brick-by-Brick</u>, Scottsdale, AZ





Welcome Home Haiti,
 Northern Haiti









### Conclusions

- Earthen masonry represents an affordable, safe, and sustainable technique for construction of houses and low-rise buildings
- Several issues still hamper the mainstream use of modern earthen masonry
- Appropriate and feasible solutions have been proposed for structures subject to hurricane hazard in Louisiana
- Research is ongoing to develop an affordable fire-resistant construction technique based on CSEBs
- Earthen masonry shows great potential to address climate change and equitable economic development



### Acknowledgements

- UCOP Lab Fees Program through award LFR-20-651032
- National Science Foundation through awards CMMI #1537078/#1850777
- LA BoR Economic Development Assistantship
- LSU Coastal Sustainability Studio
- Prof. F. Matta (University of South Carolina)
- Ms. Erika L. Rengifo-López (University of South Carolina)
- Prof. Robert Holton (Louisiana State University)
- Mr. Nitin Kumar (University of California, Davis)



### Thank you Questions?



Contact Information: Michele Barbato, PhD, PE, F.EMI, F.SEI, F.ASCE Email: <u>mbarbato@ucdavis.edu</u> Webpage: <u>https://barbatolab.sf.ucdavis.edu/</u>